



Patent  
Attorney's Docket No. 033413-001

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of

Hiroyasu KISAICHI, et al.

Application No.: 09/986,268

Filed: November 8, 2001

For: DISCHARGE LAMP LIGHTING  
APPARATUS AND LAMP  
APPARATUS

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) Group Art Unit: 2817

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) Examiner: Unassigned  
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**INFORMATION DISCLOSURE STATEMENT**  
**TRANSMITTAL LETTER**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed is an Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A certification under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (126) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \$\_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \$\_\_\_\_\_ is enclosed for the fee due.

The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By:

  
Ellen Marcie Emas  
Registration No. 32,131

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(703) 836-6620

Date: January 24, 2002



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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed.

U.S. Patent No. 5,565,743 to Yamashita et al., issued October 15, 1996;

Japanese Patent No. 07-211475, issued August 11, 1995;

Japanese Patent No. 07-114994, issued May 2, 1995;

Japanese Patent No. 06-163168, issued June 10, 1994;

Japanese Patent No. 06-111989, issued April 22, 1994;

Japanese Patent No. 05-258880, issued October 8, 1993;

Japanese Patent No. 09-045490, issued February 14, 1997;

Japanese Patent No. 08-69888, issued March 12, 1996; and

German Patent No. 195 31 966, issued March 7, 1996.

The documents are being submitted within 3 months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later, therefore no fee or statement is required under 37 C.F.R. § 1.97(b).

To assist the Examiner, the document are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: 

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Date: January 24, 2002

Substitute for form 1449A/PTO

JAN 24 2002

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

ATTORNEY'S DKT NO.  
033413-001

APPLICATION NO.  
09/986,268

APPLICANT  
Hiroyasu KISAICHI, et al.

FILING DATE  
November 8, 2001

GROUP  
2817

## U.S. PATENT DOCUMENTS

Examiner Initials	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication (MM-DD-YYYY)
	Number	Kind Code (if known)		
	5,565,743		Yamashita, et al.	10-15-1996

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Foreign Patent Document		Country	Date of Publication (MM-DD-YYYY)	Translation	
	Number	Kind Code (if known)			Yes	no
	07-211475		Japan	08-11-1995	X	
	07-114994		Japan	05-02-1995	X	
	06-163168		Japan	06-10-1994	X	
	06-111989		Japan	04-22-1994	X	
	05-258880		Japan	10-08-1993	X	
	09-045490		Japan	02-14-1997	X	
	08-69888		Japan	03-12-1996	X	
	195 31 966		Germany	03-07-1996	X	

## NON PATENT LITERATURE DOCUMENTS

Examiner Initials	Include name of author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
Examiner Signature	Date Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231.